Docket No.

214470US90/phh

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

Lan CHEN, et al.

SERIAL NO:

09/966,087

GAU:

2683

FILED:

October 1, 2001

EXAMINER: James D. EWART

FOR:

EFFICIENT AND STABLE RADIO RESOURCE ALLOCATION

# **INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97**

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

#### REFERENCES

The applicant(s) wish to make of record the references, some of which are cited in the attached Japanese Office
Action and listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as
are either statements of relevancy or any readily available English translations of pertinent portions of any non-
English language references.

☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

### RELATED CASES

Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be
related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies
of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the
attached PTO form 1449.

☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

#### CERTIFICATION

- Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- □ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

## DEPOSIT ACCOUNT

Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.

Bradley D. Lytle

Registration No. 40,073

Customer Number

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Form PTO 1449 U.S. DEPARTMENT OF COMMERCE (Modified) PATENT AND TRADEMARK OFFICE					SERIAL NO.			
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LIST OF	KEFE	RENCES CITED BY AP	PLICANT					
				FILING DATE		GROUP		
				October 1, 2001		2683		
				U.S. PATENT DOCUMENTS				
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE	
	AA	6,741,579 B1	05/25/2004	Jin Ho CHOI, et al.				
	AB	6,611,509 B1	08/26/2003	Masaki HAYASHI, et al.				
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	DOCUMENT		COUNTRY		TRANSLATION			
		NUMBER	DATE	COUNTRY		YES	NO	
	AO	7-297829	11/10/1995	JAPAN (with English Abstract)			X	
	AP	7-107546	04/21/1995	JAPAN (with English Abstract)			X	
	AQ	11-285059	10/15/1999	JAPAN (with corr. US 6,741,579 B1)			X	
	AR	11-261544	09/24/1999	JAPAN (with corr. US 6,611,509 B1)			X	
	AS							
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OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)								
	AW							
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*Evaminer: In	itial if r	eference is considered	whether or not	t citation is in conformance with MPEP 6	L 09: Draw lii	ne through o	citation if not in	
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